

David W. Kuo, CISA, CIPT  
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Senior Cybersecurity Executive

**CORE COMPETENCIES**

- Information Security Management
- Cyber Risk Management
- Vendor Risk Management
- BCP & DR
- Cloud Computing
- Security Technology Integration
- Audit & Compliance
- Program Management

**EXECUTIVE PROFILE**

I am an innovative Cybersecurity and Compliance executive with deep leadership experience. For the past 15 years, I have managed and operationalized several large strategic Cybersecurity and Compliance Management Initiatives for Fortune 500 organizations across various industries verticals to help achieve compliance with regulations and industry standard such as ISO 27001, NIST CSF, AICPA GAPP, HIPAA, FFIEC, and GDPR.

Prior to my leadership in Cybersecurity and Compliance, I have led broader IT and CIO programs including the implementation of ERP systems, platforms, and cloud capabilities in many companies as a consultant.

Backed by solid business credentials including serving as Distinguished Fellow with Ponemon Institute, a leading think-tank for data privacy. Frequent speaker at privacy and security conferences in the US on the subject of Data Protection and Privacy. I am a Certified Information System Auditor (CISA) and Certified Information Privacy Professional for IT (CIPT).

**\*\*\*\*Open for Relocation If Required\*\*\*\***

**Cybersecurity and Compliance Experience and Accomplishments**

**Independent Consultant, Security Strategist, San Francisco, CA (1/2020-5/2020)**

For a cloud infrastructure provider, assisted with the development of roadmap and remediation activities for ISO 27001 certification. The engaged activities include but not limited to:

1. Perform initial certification gap assessment to determine ISO 27001 compliance readiness;
2. Collaborated with the technology leadership on the development of roadmap for achieving the ISO certification and management action plan to address all identified gaps from ISO standard; and
3. Reviewed and Updated existing information security polices and standards based on ISO controls as needed.

For a Software as Service (SaaS) provider developed the risk management process and assessment questionnaire for performing regular third-party security assessment.

**SAP SuccessFactors, Head of Compliance, Privacy and Risk Management, San Francisco, CA (9/2017-6/2019)**

Serving as the global leader for Compliance, Privacy and Risk Management for the market leading cloud based human capital management (HCM) solution. My focus has been operationalizing a sustainable security compliance and privacy program that is risk based and identify, detect, and protect against key data security threats for cloud SaaS offering. My accomplishments including and not limited to:

- Building a global team of compliance and privacy professionals in the support of the program
- Attained several international security and privacy certification such as ISO27001, ISO 27017, SOC 1 & 2, and BS10012, and other local/ industry specific security and privacy certification such as PCI, HITRUST, IRAP for Australia, TISAX and C5 for Germany.
- Established Third-Party Risk Management program that ensures suppliers and solution extension partners compliance with SAP required information protection measures and standards.
- Established continuous monitoring of cybersecurity control (i.e. insider threat prevention, identity access management, privileged access control, encryption control, and security monitoring) that help ensure compliance with SAP Security Requirements for Cloud Operations.

- Managing for all cybersecurity compliance related matters for SAP SuccessFactors operations— from privacy (i.e. GDPR, Russian Data Privacy and China Cybersecurity Law etc.) to Export Control & Trade Sanctions.
- Working closely SAP Global Security and Cloud Compliance Offices on the adoption and deployment of Multi-dimensional Control Framework for Security Compliance Management,
- Working closely with the SAP Global Risk and Compliance (GRC) on cybersecurity risks identification and implement actions to manage those risks.
- Evangelizes local security and privacy standards, and mentor compliance staff and cloud operations team members to ensure they understand compliance and privacy requirements.
- Owning and maintain the Business Continuity Plan for the SaaS service delivery operations
- Supported pre-sale discussion with key customers by facilitating security and compliance workshop for the HCM SaaS offering
- Performed joint security and privacy assessments with customer staff or third-party assessors that led to either new subscriptions or contract renew.

**KPMG LLP, Senior Director, Advisory | Cyber Services, San Francisco, CA (1/2012-9/2017)**

As a Senior Director in the Cyber Practice, I served as the Cyber Account Lead for Platinum Accounts. As the account lead, I am responsible for the delivery of security and privacy projects averaging \$2-5MM for each assigned account. My accomplishments are as follow:

- Developed, led, and delivered several Cyber Compliance Assessment and Readiness programs that help client achieve compliance with standard and privacy regulation such as GDPR, FFIEC, GLBA, NYDFS, PCI, ISO27001, HIPAA etc.
- Served as the retained cybersecurity and privacy advisor to the CEO and Board of Directors for number of large financial services institution by providing regular briefing on emerging security technology trends issues and risks.
- Developed information protection requirements as part of broader public cloud (i.e. GCP, Azure, and AWS etc.) migration plan for number of private equity firms and family offices
- Served as the “rental CISO” for a Government Sponsored Government Sponsored Financial Institution. The key engagement activities included CISO transition support, conduct cybersecurity risk assessment, developing strategy for cybersecurity risk mitigation, managing teams responsible for threat and vulnerability management, incident response, identity and access management, governance, risk and compliance as well as third party risk management.
- Led a post-merger and acquisition Information security policy and standard harmonization effort for global pharmaceutical company
- Participated in the development of HIPAA Security and Privacy Standards as part of the engagement team
- Led several Information Governance and Protection initiatives for customer in various industry verticals. The imitative often include but not limited to operationalize the policy framework for information governance and protection, develop employee training and awareness campaign, and implement data protection-in-depth controls such as document record of processing, training / awareness, access controls, sensitive information monitoring using Data Loss Prevention (DLP) technology, test data management, and sensitive data obfuscation.
- Performed number of privacy impact assessments for several financial services institution that identifies personal data collection activities and evaluated data protection control design for personal data lifecycle management.
- Developed and authored KPMG Whitepaper for *Regulatory Compliance in the Cloud*

**Accenture LLP, Senior Manager, Technology Consulting | US Data Protection and Privacy Offering Lead, San Francisco, CA (5/2006-10/2011)**

As Senior Manager in the Security Technology Practice, I played various roles (Subject Matter Expert, Project Management, or Project Quality Assurance) within Security Risk Management domain. I am also

the North America lead for the Data Protection and Privacy offering that focuses on sensitive information management and protection. My key accomplishments are as follow:

- Build the data protection and privacy offering from ground up and the offering became a key Accenture global strategic initiative with annual sales over \$12MM in North America during FY09.
- Led the 2009 Accenture Global Privacy Study – the first global benchmark study conducted by Accenture Security & Risk practice. The Study surveyed 5,500 Business leaders polled in 19 countries; 51% in management positions; and 50% directly responsible for Data Protection & Privacy.
- Led an annual third-party security assessment for a global pharmaceutical company to help ensured data protection technical organizational measures are being followed by the outsourced providers for clinical trial data
- Served as Accenture representative in the development and launch of HITRUST framework
- Led end endpoint and network Data Loss Prevention (DLP) technology implementation for number of retail and wholesale customers for PCI compliance
- Led a Payment Card Industry (PCI) control remediation engagement. The engagement includes inventory of Primary Account Number (PAN) processing, deployment of data tokenization for PAN storage, network segmentation for in-scope system stack, deployment of security incident and event management (SIEM) tool, roll-out of incident management process, perform static and dynamic code scan, privileged access management and RSA Archer for compliance monitoring.
- Developed information protection and privacy framework for number of international government entities that helps secure electronic health records (EHR) for privacy protection.
- Led several data protection assessments and control remediation engagements for customers in various industry verticals that evaluated legitimacy of data processing, information lifecycle management, information governance process, and effectiveness of data protection measures. Developed control remediation roadmap and action plan for all high-risk standard or regulatory compliance gaps discovered during the assessment.

**Capgemini U.S. LLC (Formerly Capgemini Ernst & Young), Senior Manager, Finance and Employee Transformation** Cupertino, CA (7/2004-5/2006)

As member of the Consulting Services for Capgemini, I played various roles on assigned projects and engagements. I am also a Capgemini certified Engagement Manager Level 2 (project revenue \$5-10MM) and Enterprise Application Consultant Level 3 (Global Experience). My accomplishments include the following:

- Served as the Risk and Compliance Advisory solutions and service offering lead for FSI (Financial Service & Insurance) sector in the West Area. The offering has generated \$5MM in gross revenue during its first year of establishment.
- For a global real estate investment trust (REITS) in Denver, CO, served as the project lead for a Global IT internal controls testing and remediation project for SOX 404 Compliance

**Technology Transformation and Integration Experience**

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**American Management Systems, Inc., Principal**, San Francisco, CA (11/2003-5/2004)

I was responsible for overall client management, Statement of Work development, project staffing, project financials, and Direct Contribution Margins management on multiple assigned mid-market ERP accounts (city and county government, K-12 School District, Higher Education Institution, and small state) in Western States. Additionally, I also served as the implementation lead for the HCM and Financial Management solution for the assigned accounts.

**Independent Consultant, Strategy Consulting**, San Francisco, CA (5/2003-1/2004)

As the ERP Strategist, I worked with SAIC's ESS (Enterprise Security Solutions) and ITS (Information Technology Services) Group, conducted a complete production application assessment on the existing production PeopleSoft 8.1 HCM and SAP FI, CO application. The purpose of the application assessment is

to create a high-level vision / strategy and Service Delivery Model (SDM) for their PeopleSoft HCM and SAP FI/CO investment and global implementation,

**Answerthink, Inc , Consulting Manager**, San Francisco, CA (5/2001-4/2003)

As the consulting manager, I have led several major PeopleSoft 8.X HCM implementation and HR Process Transformation for clients ranging from public utilities to global financial services institutions.

## **EDUCATION**

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**B.S.** SAN FRANCISCO STATE UNIVERSITY, SAN FRANCISCO, CA, Business Administration, Concentration in Management Information Systems & Human Resources Management

## **Professional Affiliation**

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**Ponemon Institute** Distinguished Fellow

**ISACA** MEMBER OF INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION, SAN FRANCISCO CHAPTER

**IAPP** Member of International Association of Privacy Professional

## **SPEAKING ENGAGEMENTS**

- 2018 SAP FKOM, January, 2018, “Delivering Peace of Mind in Highly Regulated World”
- 2017 PwC US Privacy Retreat, November, 2017, Panel Discussion “GDPR & Privacy in Tech and Media”
- 2010 IAPP Summit, April 2010, “The Impact of Regulations and Culture on an Organization's Global Privacy Strategy”
- 2010 RSA Conference, April 2010, “The Impact of Regulations and Culture on an Organization's Global Privacy Strategy”
- 11th Annual Privacy and Security Conference, February 2010, “The Impact of Regulations and Culture on an Organization's Global Privacy Strategy”
- 2009 Archer San Francisco Regional User Event, October 2009, “Achieve Sustainable PCI Compliance and Readiness”
- Oracle CISO Event, August 2009, “Accenture Global Privacy Survey – Executive Briefing”
- 2009 RIM Renaissance, July 2009, “Accenture Global Privacy Survey Findings Preview”
- 2009 Archer National User Summit, April 2009, “Effective Data Protection and Privacy Assessment”
- 2008 Microsoft Solution Specialist Sales Summit, August 2008, “Achieve eDiscovery Readiness Through Enterprise Records Management”